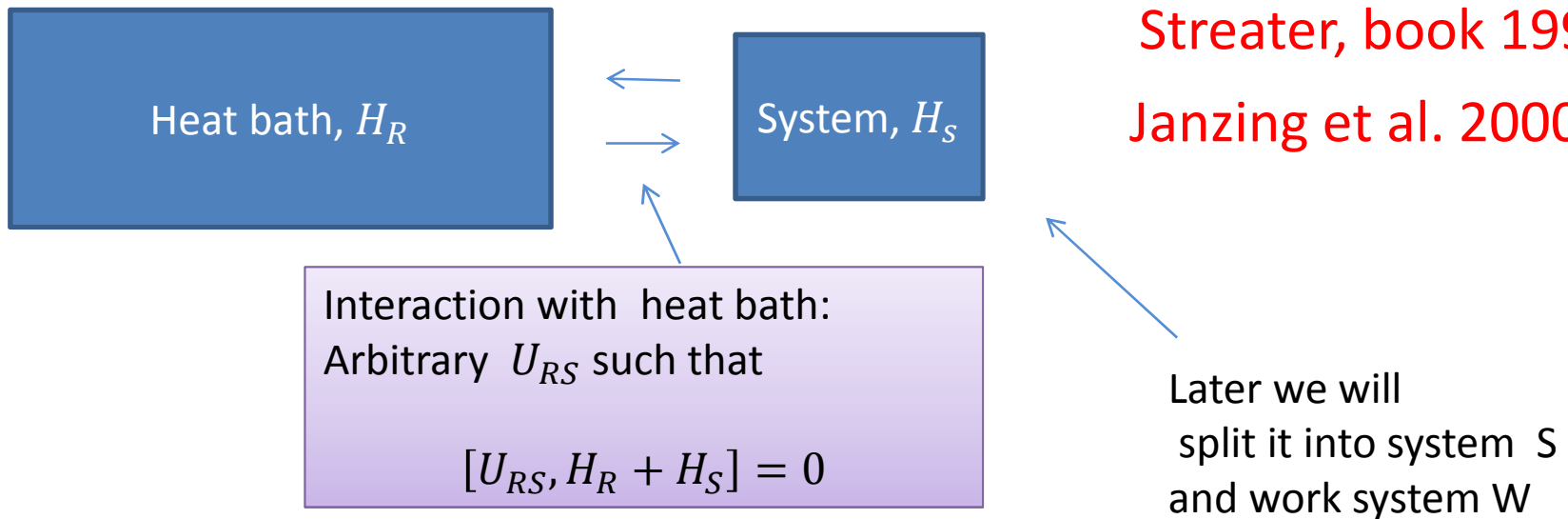


# *Some intro on thermal operations*

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# Thermal Operations



**First Law:** ensured by  $[U_{RS}, H_R + H_S] = 0$

**Second Law:** ensured by unitarity of  $U_{RS}$ .

**Remark:** In our approach, drawing work will be defined by **state change!**

Questions:

- Find condition for general transition  $(\rho_S, H_S) \rightarrow (\sigma_S, H_S)$
- Define work, derive optimal work extraction

**Theorem[HO2011]:** For diagonal states,

state  $(\rho, H)$  can be transformed into  $(\sigma, H)$  by thermal operations

if and only if

$$f_\rho(x) \geq f_\sigma(x) \text{ for all } x \in [0, Z].$$

Actually: immediate conclusion from Ruch& Mead 1976 +  
Janzing et al. 2000]

# Thermo-diagrams (Lorentz curves)

state  $(\rho, H)$   $\longleftrightarrow$  function  $f_\rho: [0, Z] \rightarrow [0, 1]$

energy



$p(E)$  – eigenvalues of  $\rho$

here  $Z$  is partition function of  $H$

1) **Rescale:**

$$p'(E) = p(E)/e^{-\beta E}$$

2) **Order** (decreasingly):

$$\frac{p(E_1)}{e^{-\beta E_1}} \geq \frac{p(E_2)}{e^{-\beta E_2}} \geq \dots \geq \frac{p(E_n)}{e^{-\beta E_n}}$$

3)  $f$  is **linear interpolation** of points

$$(0, 0), \quad (e^{-\beta E_1}, p_1), \quad (e^{-\beta E_1} + e^{-\beta E_2}, p_1 + p_2), \quad \dots, (Z, 1)$$

# Thermo-diagrams (Lorentz curves)

Order (decreasingly):  $\frac{p(E_1)}{e^{-\beta E_1}} \geq \frac{p(E_2)}{e^{-\beta E_2}} \geq \dots \geq \frac{p(E_n)}{e^{-\beta E_n}}$

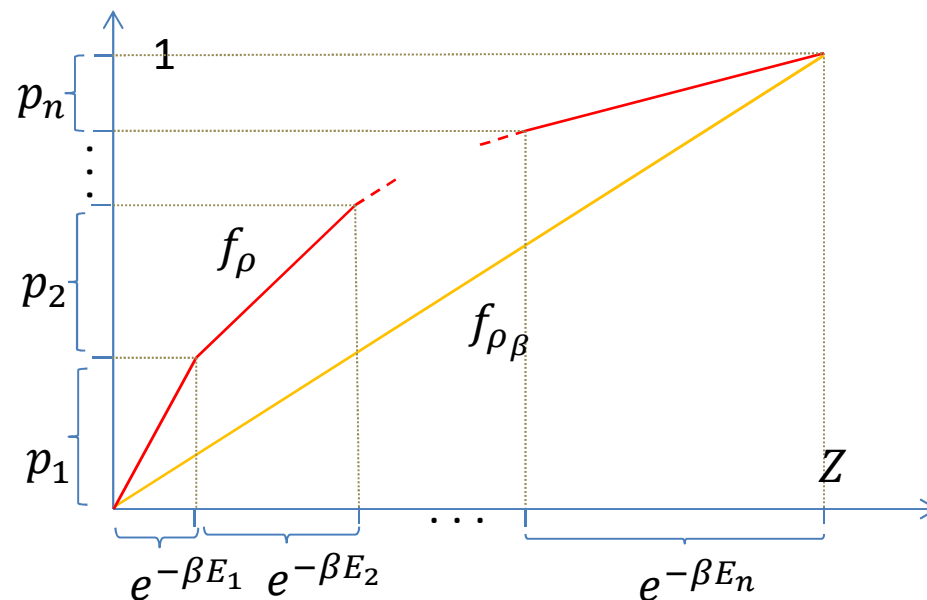
Denote:  $p_i = p(E_i)$

**Recall:**

$p_i$ 's are not ordered!  
they are  $\beta$  - ordered

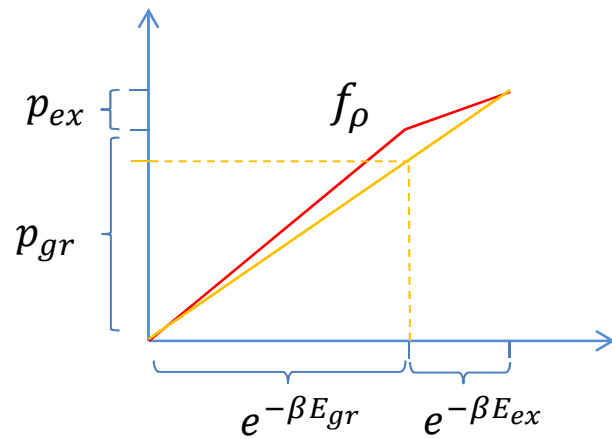
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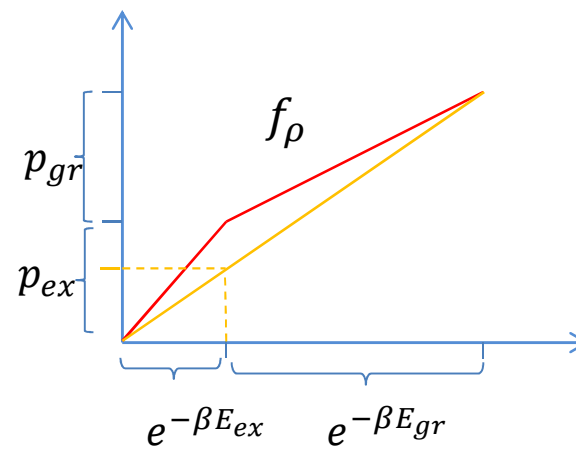


# Thermo-diagrams for two level systems

Two  $\beta$ -orders possible:



$\rho$  is **less excited**  
than Gibbs state

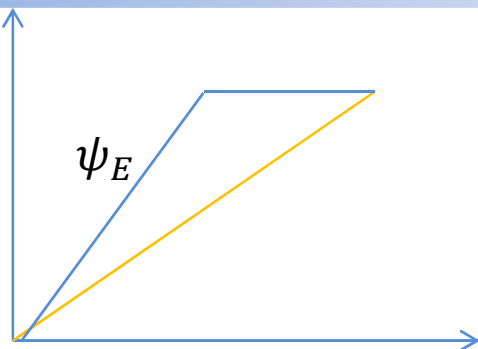


$\rho$  is **more excited**  
than Gibbs state

$p_{ex}$  ———  $E_{ex}$

$p_{gr}$  ———  $E_{gr}$

The form of diagram of eigenstate of energy:



## Transition Law for diagonal states: Thermomajoriation

### **Theorem[H&O Nat Com]:**

State  $(\rho, H)$  can be transformed into  $(\sigma, H)$   
by thermal operations

$$(\rho, H) \xrightarrow{TO} (\sigma, H)$$

if and only if

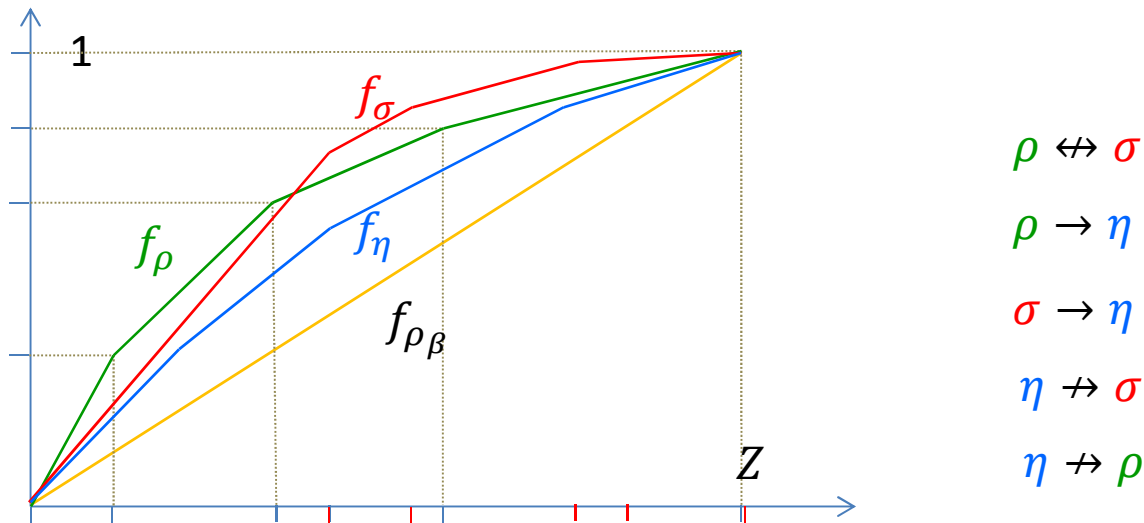
$$f_\rho(x) \geq f_\sigma(x)$$

for all  $x \in [0, Z]$ .

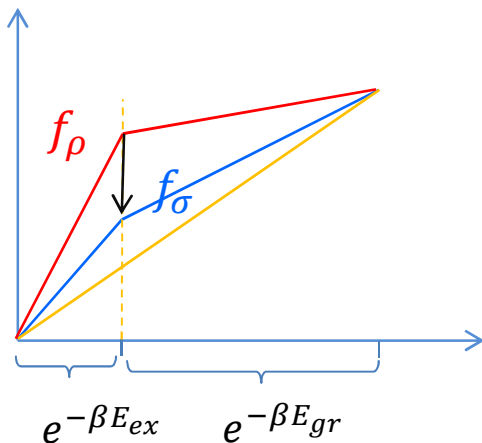
Actually: immediate conclusion from Ruch& Mead 1976 +  
Janzing et al. 2000]

# Thermomajoration criterion

**Theorem[H&O Nat Com]:** state  $(\rho, H)$  can be transformed into  $(\sigma, H)$  by thermal operations if and only if  $f_\rho(x) \geq f_\sigma(x)$  for all  $x \in [0, Z]$ .



**Two level system: the same  $\beta$ -order**



**Example:**  
For  $\rho$  and  $\sigma$  **more excited** than Gibbs state:

$$\rho \rightarrow \sigma \Leftrightarrow p_{ex}(\rho) \geq p_{ex}(\sigma)$$

**Remark:**

This transition can be done by just **mixing** with Gibbs state

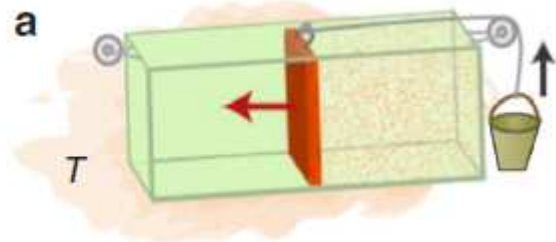


Questions:

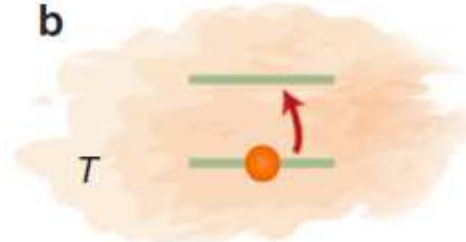
- Find condition for general transition  $(\rho_S, H_S) \rightarrow (\sigma_S, H_S)$
- Define work, derive optimal work extraction

# Definition of deterministic work

[H&O Nat Com]



Macroscopic work



Microscopic work

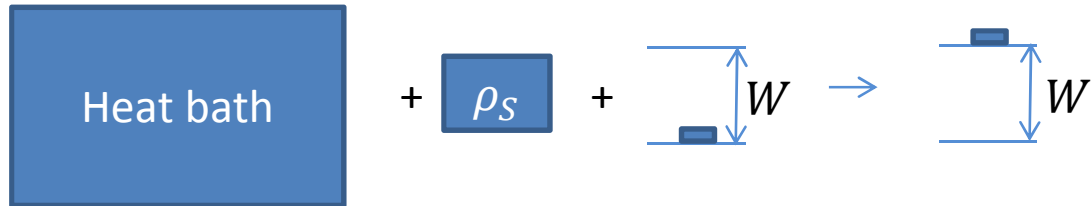
We perform **work**  $W$  if we transform eigenstate of energy  $E$  to eigenstate of energy  $E'$ , with  $E' - E = W$



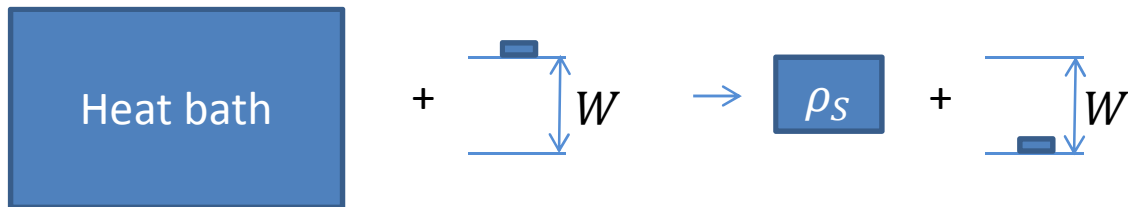
**Fact:** Without loss of generality, one can restrict to two level work system, which we call **Wit (Work-bit)**

# Basic questions related to work

- $\rho \rightarrow W$  (maximal **extractable** work)

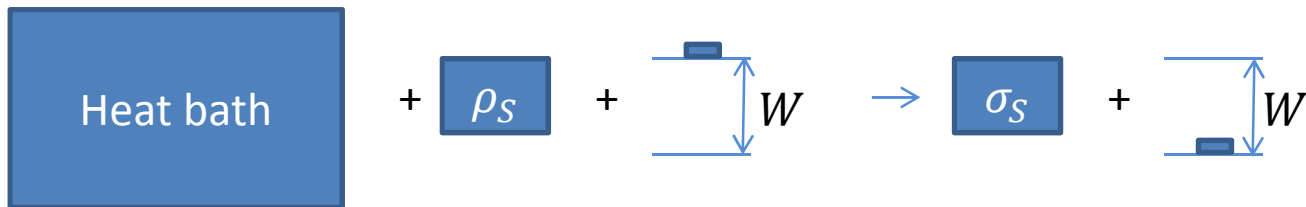


- $W \rightarrow \rho$  (minimal work needed to **form** state)



Most general question:

- $\rho + W \rightarrow \sigma$



# A primitive: work rescaling

How are related diagrams of

- $\rho_S \otimes |W\rangle\langle W|$
- $\rho_S \otimes |0\rangle\langle 0|$
- $\rho_S$  itself

Domains:

- $f_{\rho \otimes |W\rangle\langle W|}: [0, Z_S Z_W] \rightarrow [0, 1]$
- $f_{\rho \otimes |0\rangle\langle 0|}: [0, Z_S Z_W] \rightarrow [0, 1]$
- $f_\rho: [0, Z_S] \rightarrow [0, 1]$

Let  $E_1, E_2, E_3$  be  $\beta$ -ordered energies with respect to  $\rho$

$$\underbrace{E_1, E_2, E_3}_{\text{occupied by } \rho_S \otimes |0\rangle\langle 0|}, \underbrace{E_1 + W, E_2 + W, E_3 + W}_{\text{occupied by } \rho_S \otimes |W\rangle\langle W|}$$

occupied by  
 $\rho_S \otimes |0\rangle\langle 0|$

occupied by  
 $\rho_S \otimes |W\rangle\langle W|$



$$f_{\rho \otimes |0\rangle\langle 0|}(x) = \begin{cases} f_\rho(x) & x \in [0, Z_S] \\ 1 & \text{else} \end{cases}$$

$$f_{\rho \otimes |W\rangle\langle W|}(x) = \begin{cases} f_\rho(xe^{-\beta W}) & x \in [0, Z_S e^{-\beta W}] \\ 1 & \text{else} \end{cases}$$

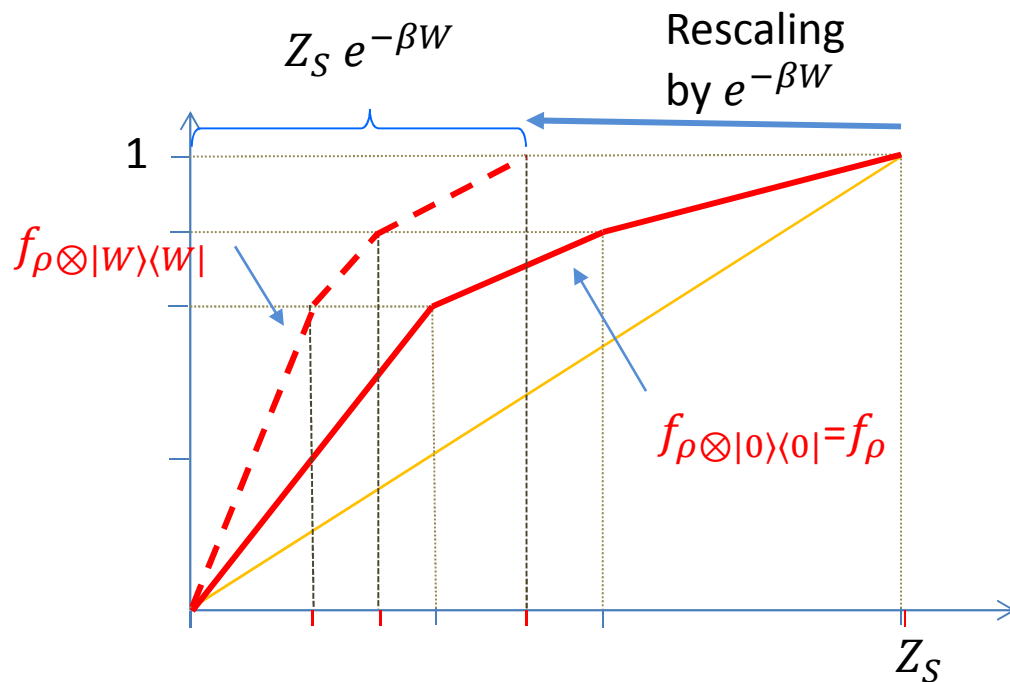
## Conclusion:

- All diagrams nontrivial only for  $x \in [0, Z_S]$
- The diagram of  $\rho_S \otimes |W\rangle\langle W|$  is obtained from that of  $\rho_S$  by **rescaling** x-axis .
- The diagram of  $\rho_S \otimes |0\rangle\langle 0|$  is **the same** as that of  $\rho_S$

# A primitive: work rescaling

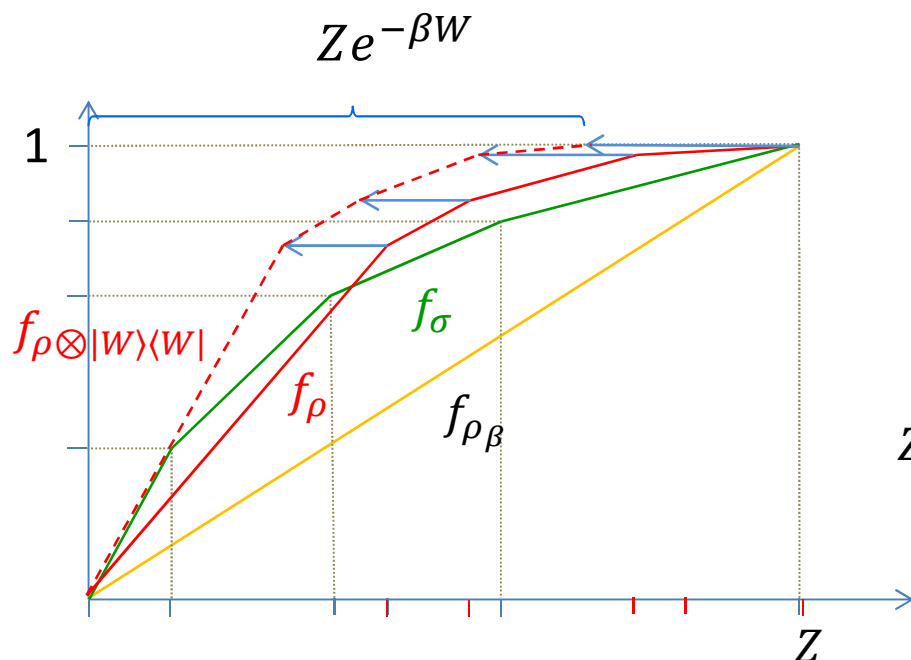
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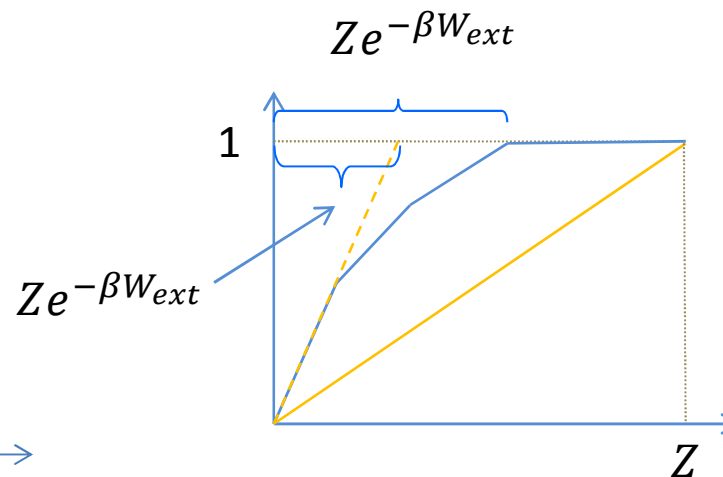
# Law of transitions including work

General case:  $\rho + W \rightarrow \sigma$



Special case:

- work extraction  $\rho \rightarrow W_{\text{ext}}$
- formation  $W_{\text{form}} \rightarrow \sigma$



**Theorem [HO]:** minimal work needed to transform  $\rho$  into  $\sigma$  is given by:

$$W(\rho \rightarrow \sigma) = \min \{W: f_\rho(x e^{-\beta W}) \geq f_\sigma(x)\}$$

In particular:

$$W_{\text{ext}} = \Delta F_{\text{min}}$$

$$W_{\text{form}} = \Delta F_{\text{max}}$$

## PUZZLE

Work is defined in terms of state change. But everything we can do to the state is determined by thermomajorization.

Therefore one must be able to derive fluctuation relations from thermal operations.

**But:** so far we considered only deterministic work and only two possible values of work

Let us be more general: apply paradigm of thermal operations to

- a) consider non-deterministic work -> distribution of work
- b) consider other batteries than wit

**Puzzle:** if we thermalize wit, we obtain positive work gain seems to contradict to fluctuation theorem.

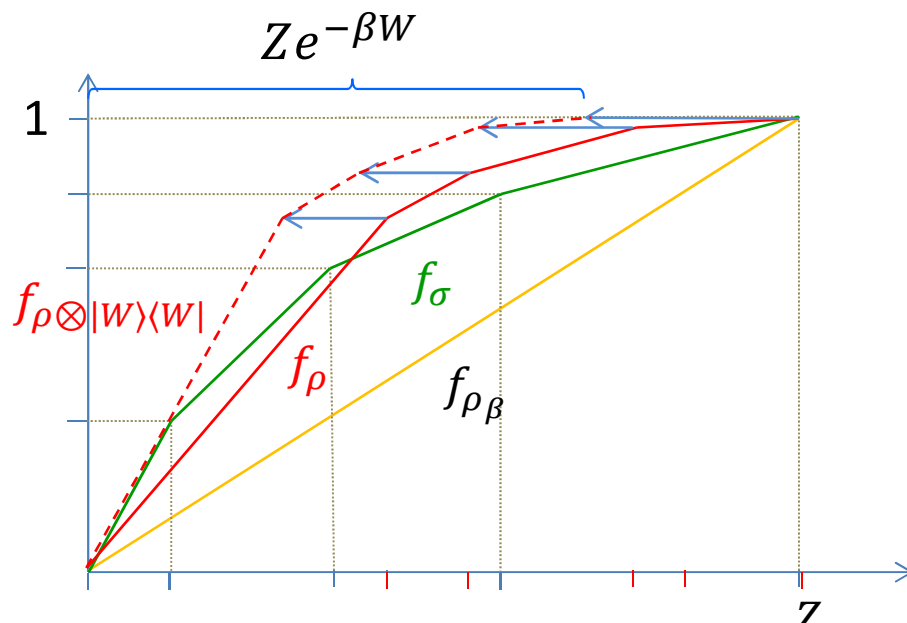
**Solution:** Alhambra, Masanes, Oppenheim and Perry. -> Translational invariant battery – weight.

But, then Wit in trouble ☹️

Using wit we get things not allowed by fluctuation relations.

Can we now treat seriously the formula below????

$$W(\rho \rightarrow \sigma) = \min \{W: f_\rho(xe^{-\beta W}) \geq f_\sigma(x)\}$$



Looks like our results were ruined in front of our eyes...

We sent Patryk Lipka-Bartosik for the expedition to





Save the Wit!

30/10/2013

# Thermo-majoriation for two-level systems

**Two level system:** the same  $\beta$ -order

**Case (1):**

For  $\rho$  and  $\sigma$  **more excited** than Gibbs state:

$$\rho \rightarrow \sigma \Leftrightarrow p_{ex}(\rho) \geq p_{ex}(\sigma)$$

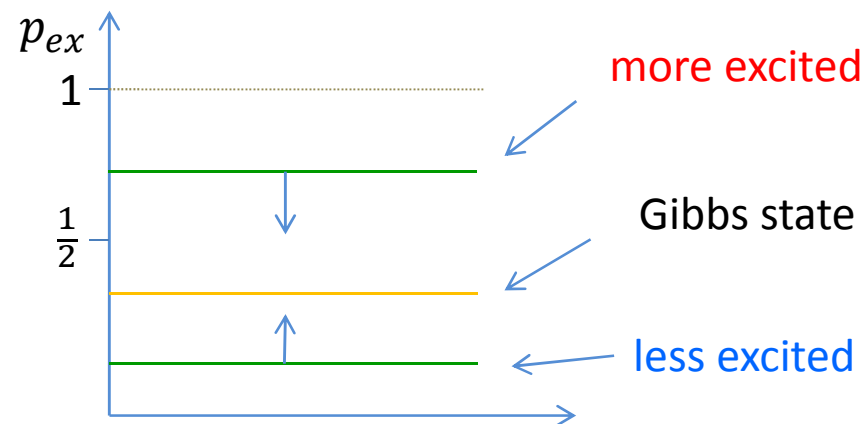
i.e. we can **dexcite** towards Gibbs state

**Case (2):**

For  $\rho$  and  $\sigma$  **less excited** than Gibbs state:

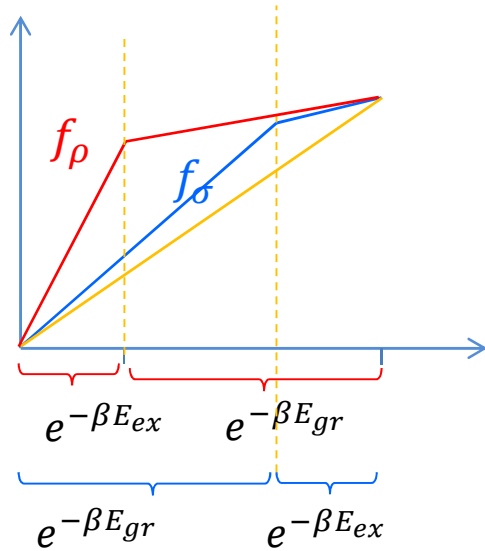
$$\rho \rightarrow \sigma \Leftrightarrow p_{ex}(\rho) \leq p_{ex}(\sigma)$$

i.e. we can **excite** towards Gibbs state

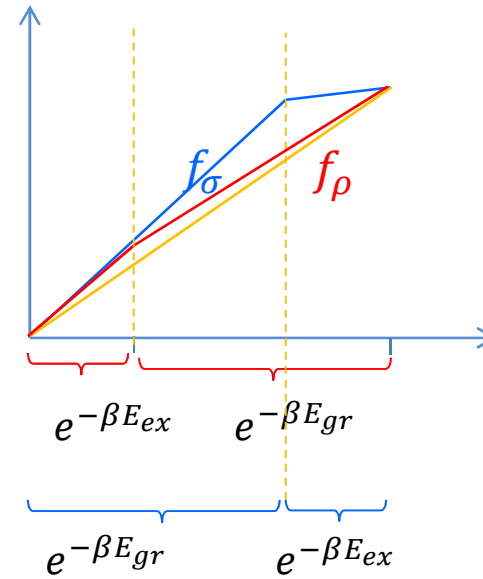


# Thermo-majoriation for two-level systems

Two level system: different  $\beta$ -order



more excited than Gibbs  $\rightarrow$  less excited than Gibbs



less excited than Gibbs  $\rightarrow$  more excited than Gibbs

