

Extremal points of thermal processes

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Inspiration – Elementary Thermal Operations [1]

Thermal Operations

$$T(\rho) = \text{Tr}_B[U \left(\rho \otimes \frac{e^{-\beta H_B}}{\text{Tr}[e^{-\beta H_B}]} \right) U^\dagger]$$

$$[U, H_S + H_B] = 0, H_B = \sum_i E_i |i\rangle\langle i|.$$

$$\beta = \frac{1}{kT_B}.$$

Bringing arbitrary systems in β
Discarding subsystems

Thermal Processes

$$\mathbf{T}_{i,j} = \langle j|T(|i\rangle\langle i|)|j\rangle:$$

$$\mathbf{T}g = g, \quad \bigwedge_j \sum_i \mathbf{T}_{i,j} = 1$$

$$g = (1, q_{10}, \dots, q_{d-1,0}) \frac{1}{Z}, Z = 1 + q_{10} \dots + q_{d-1,0}$$

$$q_{nm} = e^{-\beta(E_m - E_n)}.$$

Elementary Thermal Processes (ETP)

1. \mathbf{E} acts non-trivially only on two levels i, j .
2. $\mathbf{E}_{i,j} = \mathbf{E}_{j,i} q_{ij}$.

Are there thermal operations than cannot be performed by Elementary Thermal Operations (ETO)?

-> Yes. For a d -dimensional system, one can find a pair of states $p = (p_0, \dots, p_{d-1})$ and $r = (r_0, \dots, r_{d-1})$ and unique \mathbf{T} such that $\mathbf{T}p = r$, but \mathbf{T} cannot be decomposed into a product of thermal operations, each acting on at most $d - 1$ dimensional subspaces.

Thermal operation undecomposable into ETO

$$T(p) = r, p = \begin{pmatrix} 1 \\ 0 \\ \vdots \\ 0 \end{pmatrix}, r = \begin{pmatrix} 1 - \sum_{i>0} q_{i0} \\ q_{10} \\ \vdots \\ q_{d-1,0} \end{pmatrix}.$$

We have $T_{0,0} = 1 - \sum_{i>0} q_{i0}$, and Gibbs preserving condition: $\sum_j T_{i,j} q_{j0} = q_{i0}$ implies (for $i = 0$) $\sum_{j>0} T_{0,j} = 1$. Then:

$$T = \begin{pmatrix} 1 - \sum_{i>0} q_{i0} & 1 & \cdots & 1 \\ q_{10} & 0 & \cdots & 0 \\ \vdots & \vdots & & \vdots \\ q_{d-1,0} & 0 & \cdots & 0 \end{pmatrix}.$$

We will show that for $T = AB$, one of $\{A, B\}$ is equal to T , while another acts trivially on the ground level. This implies that every decomposition into k processes has the form $T = X_1 \dots X_n T Y_1 \dots Y_{k-n-1}$, with $n \in (0, \dots, k-1)$ and X_i, Y_i acting trivially on the ground level.

$$q_{nm} = e^{-\beta(E_m - E_n)}.$$

Thermal operation undecomposable into ETO

$$T = \begin{pmatrix} 1 - \sum_{i>0} q_{i0} & 1 & \cdots & 1 \\ q_{10} & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ q_{d-1,0} & 0 & \cdots & 0 \end{pmatrix}$$

$$T = AB \Rightarrow \forall_{i>0, j>0} T_{i,j} = 0 \Rightarrow \forall_{i>0, j>0} A_{i,0} B_{0,j} = 0$$

Assume $\exists_{k>0} B_{0,k} \neq 0$.

Then $\forall_{i>0} A_{i,0} = 0 \Rightarrow A_{0,0} = 1 \wedge \forall_{i>0} A_{0,i} = 0 \Rightarrow B = T$.

Assume $\nexists_{k>0} B_{0,k} \neq 0$.

Then $B_{0,0} = 1 \wedge \forall_{i>0} B_{i,0} = 0 \Rightarrow A = T$.

$$q_{nm} = e^{-\beta(E_m - E_n)}.$$

Extremal points of thermal processes

$$T = \begin{pmatrix} 1 - q_{10} - q_{20} & 1 & 1 \\ q_{10} & 0 & 0 \\ q_{20} & 0 & 0 \end{pmatrix}$$

d^2 elements, $2d - 1$ independent constraints \Rightarrow at least $(d - 1)^2$ zero elements

Always 1 column with identity and one row with a single non-zero element (q_{mn}). Therefore, there will be at least one slope at the majorization diagram, that will be conserved during the action of this extremal point:

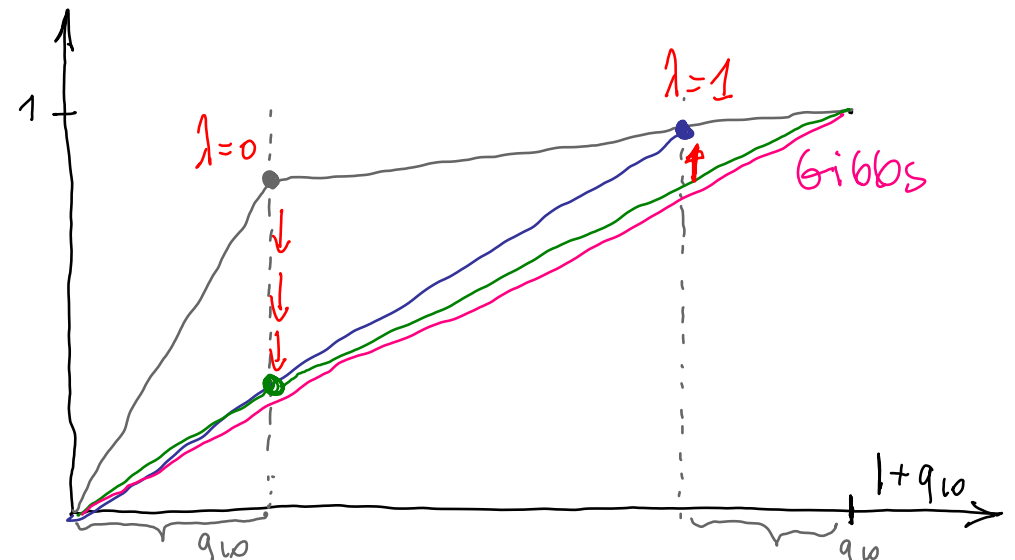
$$p = \begin{pmatrix} p_0 \\ \vdots \\ p_n \\ \vdots \\ p_m \\ \vdots \\ p_{d-1} \end{pmatrix}; \partial p = \begin{pmatrix} p_0 \\ \vdots \\ q_{0n} p_n \\ \vdots \\ q_{0m} p_m \\ \vdots \\ q_{0,d-1} p_{d-1} \end{pmatrix},$$

$$T_{ext}(p) = r \Rightarrow \partial r_m = q_{0m}(q_{mn} p_n) = q_{0n} p_n = \partial p_n.$$

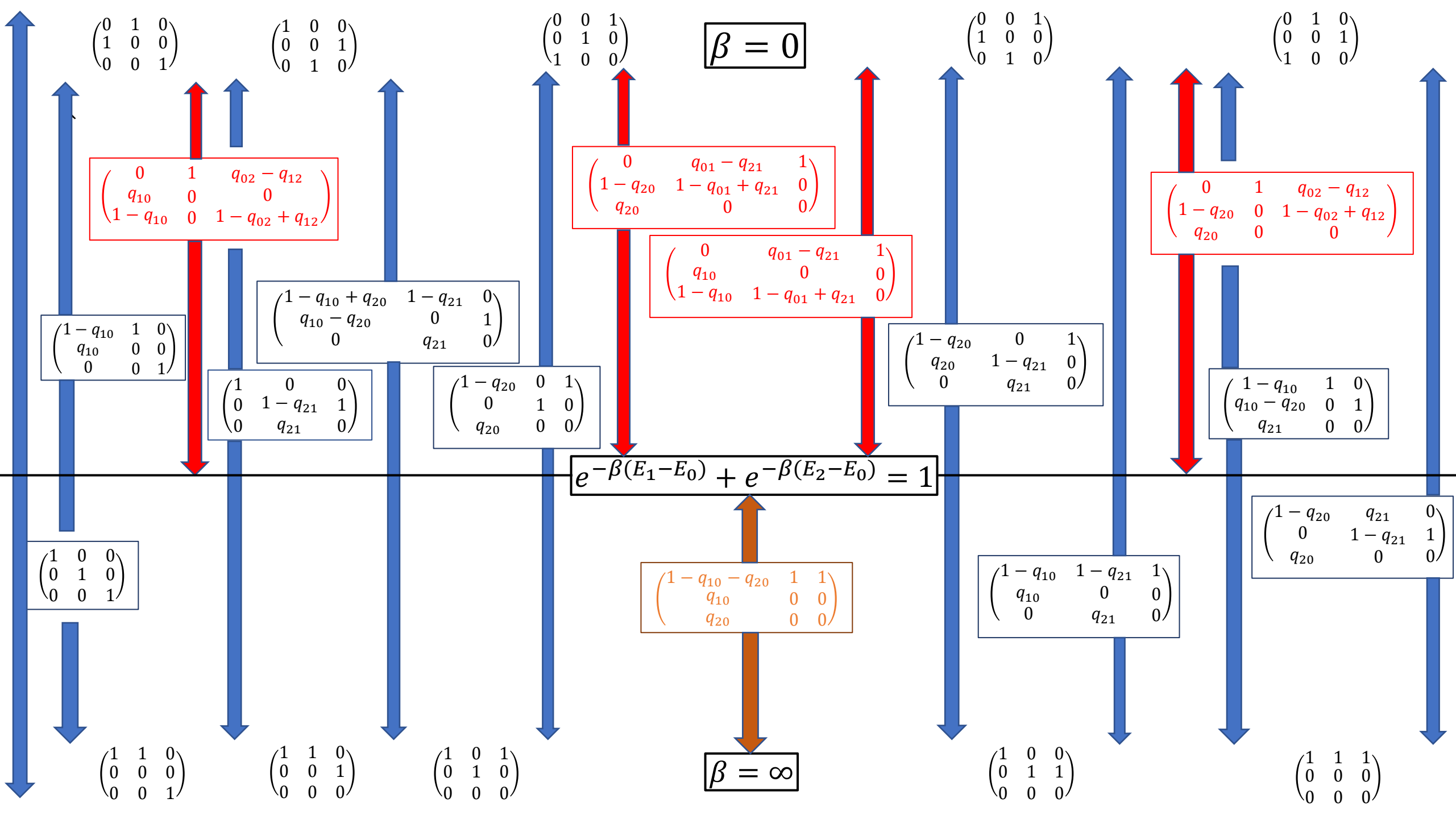
$d = 2$

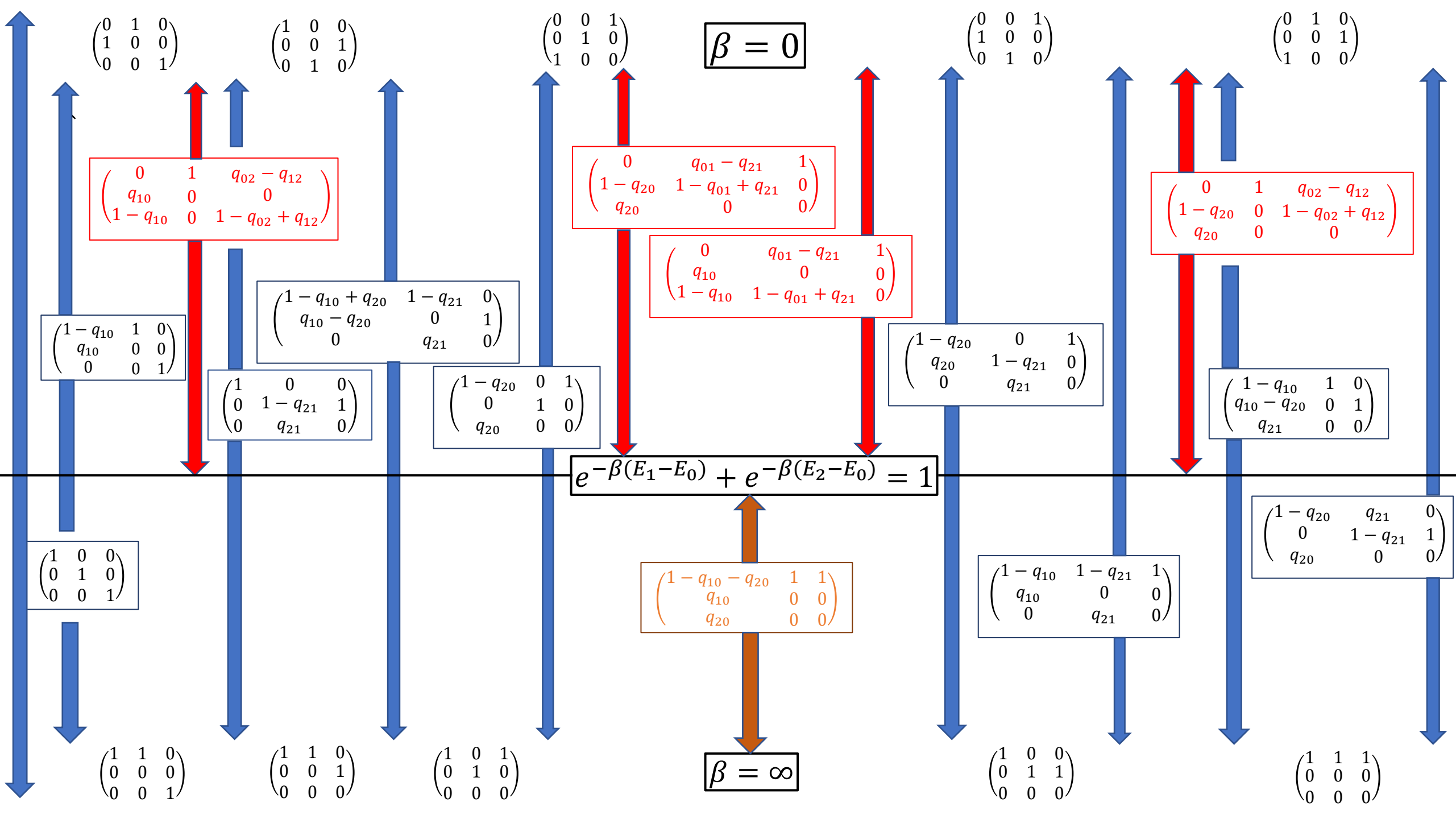
$$T_0 = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, T_1 = \begin{pmatrix} 1 - q_{10} & 1 \\ q_{10} & 0 \end{pmatrix}.$$

$$T = (1 - \lambda)T_0 + \lambda T_1$$


















$$q_{nm} = e^{-\beta(E_m - E_n)}.$$





















$T=8$ 6 () (12) (23) (13) (123) (132) NULL

Self dual: each arrow has its inverse All arrows flip

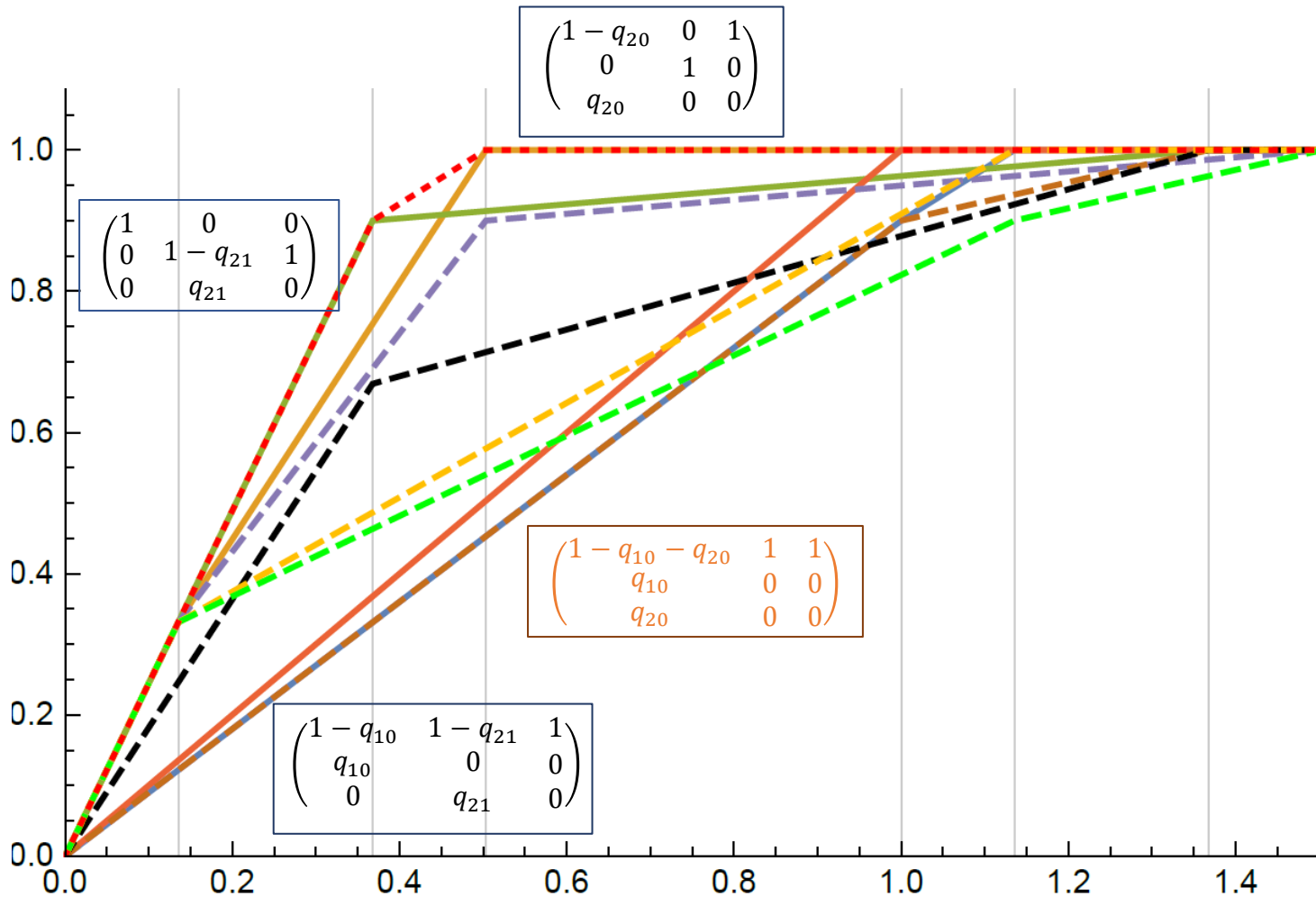
$T=13$ ()     B8  B6
  B9   B5  B7 NULL
    

$T=10$ ()     B8  B6 self dual !!
  B9   B5  B7  B4

$T=0$ ()   9, B7  9, B5  B8, B4  B9, B6

6

Extremal points on majorization diagrams



Permutation of slopes for $e^{-\beta(E_1 - E_0)}$ + $e^{-\beta(E_2 - E_0)}$ ≤ 1	Extremal points that can be deduced
2,1,3 ; 3,1,2	B1, B3, B5, B6, B9
1,2,3; 3,2,1	B1, B2, B4, B7
Permutation of slopes for $e^{-\beta(E_1 - E_0)}$ + $e^{-\beta(E_2 - E_0)}$ ≥ 1	Extremal points that can be deduced
2,1,3 ; 3,1,2	B1, B3, B5, B6, B9
1,2,3; 3,2,1	B1, B2, B7, B12, B13
2,3,1; 1,3,2	B2, B3, B8, B10, B11 $q_{nm} = e^{-\beta(E_m - E_n)}$

$$q_{nm} = e^{-\beta(E_m - E_n)}$$

Extremal points for 4 level systems

$$\begin{pmatrix} 1 + q_{10} - q_{20} - q_{30} & 0 & 0 & 1 - q_{13} + q_{23} \\ 0 & 0 & 1 & q_{13} - q_{23} \\ 0 & q_{21} & 0 & 0 \\ -q_{10} + q_{20} + q_{30} & 1 - q_{21} & 0 & 0 \end{pmatrix}$$

Valid for $q_{20} + q_{30} > q_{10}$

E.g. for 4 levels, assignments 3,1,1,2 (rows) and 3,2,1,1 (columns):

Not to violate Gibbs: Identities above red line and single non-zero elements in a row right to the red line

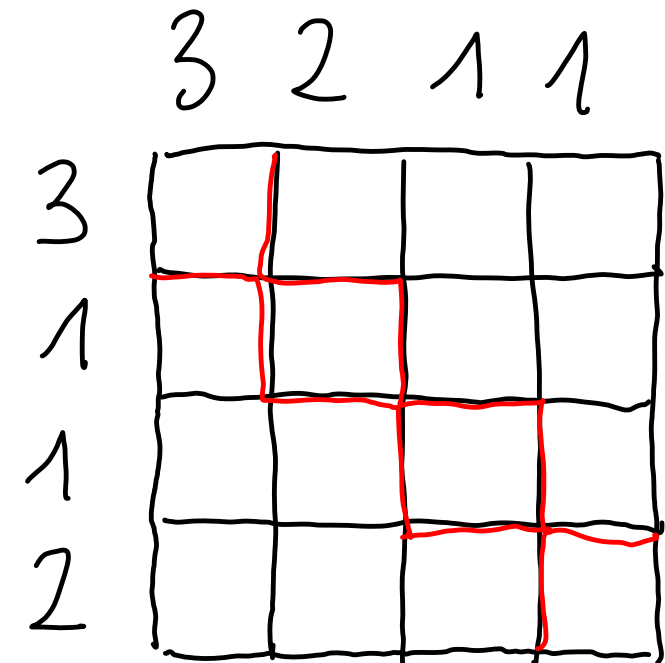
274 genuinely 4-level extremal points

Combination of elements of q_{xn} type in n -th column

How to construct an extremal point?

Go through all possible assignments of $2d - 1$ non-zero elements into rows and columns (s.t. each column/row contains at least 1 such element, first column/row contains at least 2).

For each assignment, continue fixing matrix elements as long as one obtains a completely defined matrix compatible with the assignment.



$$q_{nm} = e^{-\beta(E_m - E_n)}$$

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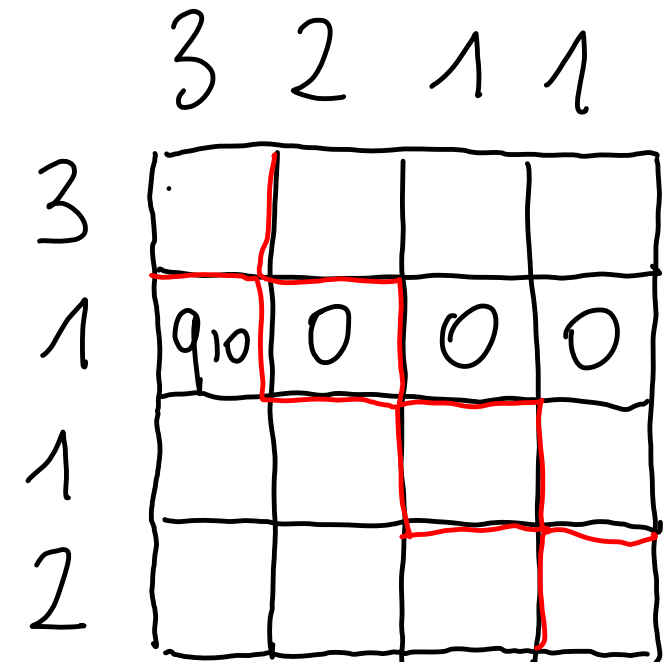
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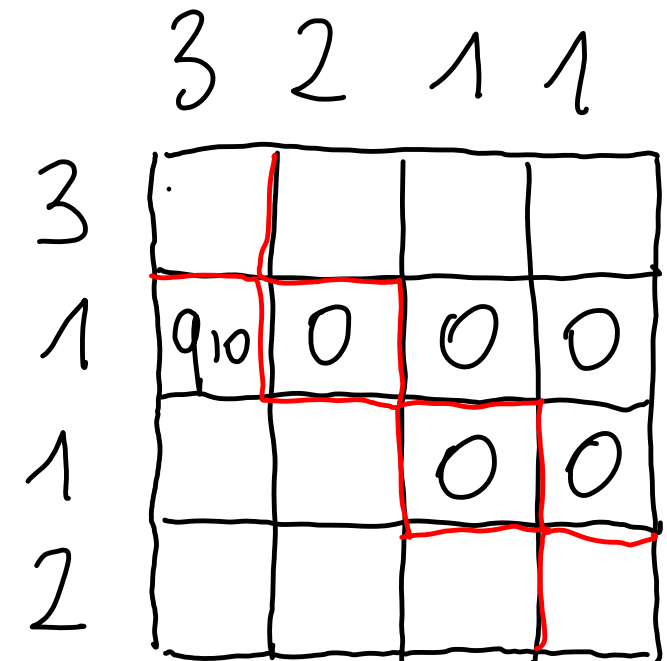
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		3	2	1	1
3				1	1
1	q_{10}	0	0	0	0
1			0	0	0
2	v	v	0	0	0

$$q_{nm} = e^{-\beta(E_m - E_n)}$$

Extremal points for 4 level systems

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3 2 1 1

3	0	v	1	1
1	q ₁₀	0	0	0
1	q ₂₀	0	0	0
2	v	v	0	0

3 2 1 1

3			1	1
1	q ₁₀	0	0	0
1			0	0
2	v	v	0	0

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$$\begin{pmatrix} 1 + q_{10} - q_{20} - q_{30} & 0 & 0 & 1 - q_{13} + q_{23} \\ 0 & 0 & 1 & q_{13} - q_{23} \\ 0 & q_{21} & 0 & 0 \\ -q_{10} + q_{20} + q_{30} & 1 - q_{21} & 0 & 0 \end{pmatrix} \quad \text{Valid for} \quad q_{20} + q_{30} > q_{10}$$

3 2 1 1

3	0	q_{01} $-q_{21}$ $-q_{31}$	1	1
1	q_{10}	0	0	0
1	q_{20}	0	0	0
2	$1 - q_{10}$ $-q_{20}$	$1 + q_{31}$ $+q_{21} - q_{01}$	0	0

3 2 1 1

3			1	1
1	q_{10}	0	0	0
1			0	0
2	v	v	0	0

$$q_{nm} = e^{-\beta(E_m - E_n)}$$

Extremal points for 4 level systems

$$\begin{pmatrix} 1 + q_{10} - q_{20} - q_{30} & 0 & 0 & 1 - q_{13} + q_{23} \\ 0 & 0 & 1 & q_{13} - q_{23} \\ 0 & q_{21} & 0 & 0 \\ -q_{10} + q_{20} + q_{30} & 1 - q_{21} & 0 & 0 \end{pmatrix} \quad \text{Valid for} \quad q_{20} + q_{30} > q_{10}$$

3 2 1 1

3	$1 + q_{10} - q_{20} - q_{30}$	0	1	1
1	q_{10}	0	0	0
1	0	q_{21}	0	0
2	$-q_{10} + q_{20} + q_{30}$	$1 - q_{21}$	0	0

3 2 1 1

3	0	$q_{01} - q_{21} - q_{31}$	1	1
1	q_{10}	0	0	0
1	q_{20}	0	0	0
2	$1 - q_{10} - q_{20}$	$1 + q_{31} + q_{21} - q_{01}$	0	0

3 2 1 1

3			1	1
1	q_{10}	0	0	0
1			0	0
2	v	v	0	0

An algorithm to generate extremal points of thermal processes for d-level systems?

Structure of an extremal point?

What is the temperature dependence of the geometry of the set?

Can external points be always obtained from majorization diagrams?